



Company : Moses Lake Industries, Inc.
Factory : Moses Lake Industries, Inc.
Reporting Period : 2012

100%

Corporate-Level Social and Environmental Responsibility

CB1 - Customer Designation

CB1.0 - Please choose your primary Deliverables:

Products

CB1.2 - Do the products/services carry the customer name and/or logo?

No

CB1.3 - Please indicate how many supply chain tiers, including your own company, are involved in fulfillment of the customer contract(s).

2

CB1.4 - Notes and Comments: (If none enter N/A)

N/A

CB2 - Company (Supplier) Contact Information

CB2.1 - Company Name:

Moses Lake Industries, Inc.

CB2.2 - Company Headquarters Mailing Address:

8248 Randolph Rd. NE

CB2.2a - Street Address 1

N/A

CB2.2b - Street Address 2

N/A

CB2.2c - City and State/Province (Please enter N/A if not applicable)

Moses Lake, WA

CB2.3 - Country:

United States

CB2.4 - Company Contact Name:

William Osborne

CB2.5 - Contact Position or Title:

Corporate EHSS Manager

CB2.6 - Contact Telephone Number:

5097625336

CB2.8 - Contact Email:

bosborne@mlindustries.com

CB2.12 - Notes and Comments: (If none enter N/A)

N/A

CB3 - Supplier Company Characteristics

CB3.1 - What is the principal business of your company? (To select multiple rows hold down the Ctrl key and click additional choices):

Component Manufacturing

CB3.3 - Which of the following best describes your company.

Wholly-owned subsidiary

CB3.4 - Please list the parent corporation(s) if applicable: (Enter N/A if not applicable)

TAMA Chemical Corporation

CB3.12 - Please include first year your company was in operation.

1984

CB3.13 - How many people does your company employ in total?

220

CB3.14 - Do you have a third party conduct audits on your financial statements?

No

An annual review is conducted by a third party CPA.

CB3.15 - Does your company include any ownership by government?

No

CB3.18 - Does your company have government representation on the board or management/governing body?

No

CB3.19 - Please describe your company organization ownership interest. Is your company: Privately owned?

Yes

CB3.20 - If Privately owned, please attach a list of owners.



Tama Chemical Corporation.pdf

CB3.21 - Is your company publicly held with shares traded on a public exchange?

No

CB3.24 - What is the approximate annual sales revenue (in USD) of your company?

0

CB3.7 - Please list the countries where your company has business operations and/or manufacturing facilities. (Note that each manufacturing facility must complete a Facility Questionnaire).

Dalian China; Rotterdam Netherlands

CB3.8 - Has your company issued any public reports describing your social and environmental responsibility or sustainability commitments and practices?

Yes

CB3.9 - If yes, please provide an Internet address: (Enter N/A if not applicable)

www.mlindustries.com

CB3.9.1 - Please attach the most recent published report.

 MLI 2011 EHSS Annual Report.pdf

CB3.25 - Does your company retain any Intermediaries to represent you or deal with government agencies?

No

CB3.26 - Is your company currently subject to any trade sanctions or embargoes imposed by any government?

No

CB3.27 - Has your Company or any of the key personnel within the last seven years, been suspended from doing business, charged with, or convicted of fraud, bribery, corruption or other criminal acts?

No

CB3.28 - Has your Company or any of the key personnel ever been investigated by a Government agency for alleged violations of any anti-corruption law?

No

CB3.11 - Notes and Comments: (If none enter N/A)

N/A

CL1 - Management Accountability for Labor and Ethics

CL1.1 - Is a management representative of the company assigned responsibility for assuring and facilitating compliance with LABOR laws, regulations and codes across all of your facilities?

Yes

CL1.2.1 - Contact Name:

Liana Cockrum

CL1.2.2 - Position or Title:

HR Manager

CL1.2.4 - Telephone Number:

5097625336

CL1.2.6 - Email:

lcockrum@mlindustries.com

CL1.3 - Is a management representative or compliance officer assigned responsibility for assuring and facilitating compliance with business ETHICS laws, regulations and codes (e.g. bribery, corruption, etc.) across all of your facilities?

Yes

CL1.4.1 - Contact Name:

Michael Harvey

CL1.4.2 - Position or Title:

President

CL1.4.4 - Telephone Number:

5097625336

CL1.4.6 - Email:

mharvery@mlindustries.com

CL1.5 - During the last 12 months, has your company received any company-wide awards or recognition for your accomplishments in LABOR and ETHICS management?

No

CL1.7 - Is your company a member of any organizations that promote social responsibility?

No

CL1.9 - Notes and Comments: (If none enter N/A)

N/A

CL2 - Company Labor / Ethics Policy and Procedures

CL2.1a - Does your company have a written LABOR policy (or statement of commitment)?

Yes

CL2.1a.1 - If yes, please attach the policy or statement?

 MLI Personnel Guidelines.pdf

CL2.3a - Does your LABOR policy express a commitment to continuous improvement?

Yes

As part of our company quality operating system which encompasses human resources.

CL2.4a - Do all of your facilities communicate the LABOR policy to their workers in the local or appropriate language (s)?

Yes

CL2.10 - Please indicate if each of the following are included in the scope of your LABOR policy or supporting rules and procedures: Freely Chosen Employment

Yes

CL2.11 - Child Labor Avoidance

Yes

CL2.12 - Working Hours

Yes

CL2.13 - Wages and Benefits

Yes

CL2.14 - Humane Treatment

Yes

CL2.15 - Non-Discrimination

Yes

CL2.16 - Freedom of Association

Yes

CL2.6a - Does your company place a contractual requirement on its suppliers (including labor agencies) to be in compliance with LABOR laws and regulations?

Yes

CL2.7a - Does your company place a contractual requirement on its suppliers (including labor agencies) to adopt an industry LABOR standards?

Yes

CL2.7b - Please state the applicable labor code(s) or standard(s):

EICC Code of Conduct and Standards

CL2.1b - Does your company have a written ETHICS policy (or statement of commitment)?

Yes

CL2.1b.1 - If yes, please attach the policy statement or statement.



MLI Code of Conduct and Ethics.pdf

CL2.3b - Does your ETHICS policy express a commitment to continuous improvement?

Yes

As part of the company wide quality operating system.

CL2.4b - Do all of your facilities communicate the ETHICS policy to their workers in the local or appropriate language(s)?

Yes

CL2.17 - Please indicate if each of the following are included in the scope of your ETHICS policy or supporting rules and procedures: Business Integrity

Yes

CL2.18 - No Improper Advantage

Yes

CL2.19 - Disclosure of Information

Yes

CL2.20 - Intellectual Property

Yes

CL2.21 - Fair Business Practices

Yes

CL2.22 - Protection of Identity

Yes

CL2.6b - Does your company place a contractual requirement on its suppliers (including labor agencies) to be in compliance with ETHICS laws and regulations?

Yes

CL2.8a - Does your company place a contractual requirement on its suppliers (including labor agencies) to adopt ETHICS standards?

Yes

CL2.8b - Please state the applicable ETHICS code(s) or standard(s):

EICC Code of Conduct and Standards

CL2.9 - Notes and Comments: (If none enter N/A)

N/A

CL3 - Labor / Ethics Management System Status

CL3.1a - Does your company utilize or is it developing a management systems approach (including policies, goals, procedures and review processes) to assure proper management of LABOR?

Yes

As part of our company wide quality management system and environmental, health and safety management system.

CL3.2 - Do you have a company-wide management system registered to SA 8000, Ethical Trading Initiative, or other recognized codes of social conduct? (Report site-specific management systems in the Facility Questionnaire for that site.)

No

CL3.4a.1 - For your LABOR Management Systems, please indicate the level of implementation of the programs and procedures for the following : Freely Chosen Employment?

In Place

CL3.4a.2 - Child Labor Avoidance?

In Place

CL3.4a.3 - Working Hours?

In Place

CL3.4a.4 - Wages and Benefits?

In Place

CL3.4a.5 - Humane Treatment?

In Place

CL3.4a.6 - Non-Discrimination?

In Place

CL3.4a.7 - Freedom of Association?

In Place

CL3.5a - To what extent are your LABOR management system(s) documented?

Completely and Updated Regularly

CL3.6a - How often does the management of your company review the status of the above LABOR management

system(s) and identify improvement opportunities?

Every 2 To 4 Years

As part of our company wide quality management system and environmental, health and safety management system management review meetings.

CL3.7a - What was the date of the last management review of your LABOR management system(s)?

6/22/2012 0:0:0

CL3.1b - Does your company utilize or is it developing a management systems approach (including policies, goals, procedures and review processes) to assure proper management of ETHICS?

Yes

CL3.4b.1 - For your ETHICS management systems, please indicate the level of implementation of the programs and procedures for the following: Business Integrity

In Place

CL3.4b.2 - No Improper Advantage

In Place

CL3.4b.3 - Disclosure of Information

In Place

CL3.4b.4 - Intellectual Property

In Place

CL3.4b.5 - Fair Business Practices

In Place

CL3.4b.6 - Protection of Identity

In Place

CL3.5b - To what extent are your ETHICS management system(s) documented?

Completely and Updated Regularly

As part of our company wide quality management system.

CL3.6b - How often does the management of your company review the status of the above ETHICS management system(s) and identify improvement opportunities?

Every 2 To 4 Years

CL3.7b - What was the date of the last management review of your ETHICS management system(s)?

8/19/2010 0:0:0

CL3.8 - Notes and Comments: (If none enter N/A)

N/A

CL4 - Labor/ Ethics Management System Elements

CL4.1a - Has your company established a tracking system to identify and monitor LABOR laws and regulations that apply to your company?

Yes

CL4.2a - Please indicate the methods and sources used to track LABOR laws and regulations. (To select multiple rows hold down the Ctrl key and click additional choices):

Information Subscription, Consulting Services, In-House Specialists

CL4.3a - Does your company have written performance objectives for LABOR practices, including metrics and targets with implementation plans for achieving them?

Yes

CL4.3a.1 - If yes, please attach the objectives for the current year.

 2013 MLII HR Goals.pdf

CL4.4a - How often does your company review performance for LABOR practices against these objectives and targets?

At Least Every Year

This is done quarterly at our management review meetings.

CL4.5a - Please indicate the date of the last review.

3/7/2013 0:0:0

CL4.6a - Does your company have a risk assessment process to identify, prioritize, and mitigate the potential LABOR risks associated with your operations and activities?

In Place

Yes, this is achieved with internal audits, metric reviews, and training.

CL4.8a - Does your company conduct periodic audits of LABOR practices at its operating facilities in order to assess conformance with regulatory and other requirements?

Yes

CL4.1b - Has your company established a tracking system to identify and monitor ETHICS laws and regulations that apply to your company?

Yes

CL4.2b - Please indicate the methods and sources used to track ETHICS laws and regulations. (To select multiple rows hold down the Ctrl key and click additional choices):

Information Subscription, Consulting Services, In-House Specialists

CL4.3b - Does your company have written performance objectives for ETHICS practices, including metrics and targets with implementation plans for achieving them?

No

CL4.6b - Does your company have a risk assessment process to identify, prioritize, and mitigate the potential business ETHICS risks associated with your operations and activities?

None

CL4.7 - Does your company have a program to assess risks and manage the identified risks relating to the LABOR and ETHICS practices of your suppliers?

None

CL4.8b - Does your company conduct periodic audits of ETHICS practices at its operating facilities in order to assess conformance with regulatory and other requirements?

Yes

Internal audits to commence this year.

CL4.10 - During the last 12 months, has your company received a LABOR or ETHICS practices audit or assessment by a customer or other external organization at any of your facilities?

No

CL4.12 - Does your company have a process to implement timely corrective actions for LABOR or ETHICS deficiencies identified by internal or external assessments, audits and reviews?

In Place

CL4.13 - Does the corrective action process include root cause analysis and prevention?

Yes

CL4.16 - Does your company communicate information about its labor and/or ethics performance, practices and expectations (e.g., Suppliers, Customers, Investors, Government, General Public)?

Yes

CL4.17 - Does your company provide formal training to employees on Business ETHICS which includes guidance on how to prevent and detect corruption?

Yes

CL4.18 - Does your company agree to not pay anything of value to any government official for the purpose of improperly influencing any official decision?

Yes

CL4.15 - Notes and Comments: (If none enter N/A)

N/A

CH1 - Management Accountability and History for HS and E

CH1.1 - Is a management representative of the company assigned responsibility for assuring and facilitating compliance with occupational HEALTH and SAFETY laws, regulations and codes across all of your facilities?

Yes

CH1.2.1 - Contact Name:

William Osborne

CH1.2.2 - Position or Title:

Corporate EHSS Manager

CH1.2.4 - Telephone Number:

5097625336

CH1.2.6 - Email:

bosborne@mlindustries.com

CH1.3 - Is a management representative assigned responsibility for assuring and facilitating compliance with ENVIRONMENTAL laws, regulations and codes across all of your facilities?

Yes-Same As Individual Above

CH1.5 - During the last 12 months, has your company received any company-wide awards or recognition for your efforts in HEALTH and SAFETY, or ENVIRONMENTAL management?

No

CH1.7 - During the last 12 months, were there fires, explosions, industrial accidents or hazardous releases outside of secondary containment at any of your facilities that resulted in human injuries, fatalities, off-site impacts or property damage?

No

CH1.8 - Notes and Comments: (If none enter N/A)

N/A

CH2 - Health, Safety and Environmental Policy and Procedures

CH2.1a - Does your company have a written HEALTH and SAFETY Policy (or statement of commitment)? (May be part of a combined health, safety and environmental policy).

Yes

CH2.1a.1 - If yes, please attach the policy statement or a document asserting a commitment to compliance with specific standards or legal requirements.

 MLI EHSS Policy .pdf

CH2.3a - Does your HEALTH and SAFETY policy express a commitment to continuous improvement?

Yes

CH2.9 - How does your company or your facilities communicate the HEALTH and SAFETY policy to their workers in the local or appropriate language(s)?

Training and Tracking

CH2.10 - Please indicate if each of the following are included in the scope of your HEALTH and SAFETY policy or supporting rules and procedures: Occupational Safety

Yes

CH2.11 - Emergency Preparedness

Yes

CH2.12 - Occupational Injury or Illness

Yes

CH2.13 - Industrial Hygiene

Yes

CH2.14 - Physically Demanding Work

Yes

CH2.15 - Machine Safeguarding

Yes

CH2.16 - Living Conditions

Yes

CH2.6a - Does your company place a contractual requirement on its suppliers to be in compliance with occupational HEALTH and SAFETY laws and regulations?

Yes

CH2.7a - Does your company place a contractual requirement on its suppliers (including labor agencies) to adopt a HEALTH and SAFETY standards or codes of conduct?

Yes

CH2.7a.1 - Please state these standard(s) or code(s):

EICC Code of Conduct and Standards

CH2.1b - Does your company have a written ENVIRONMENTAL Policy (or statement of commitment)? (May be part of a combined health and safety and environmental policy).

Yes

CH2.1b.1 - If yes, please attach the policy statement or a document asserting a commitment to compliance with specific standards or legal requirements.

 MLI EHSS Policy.pdf

CH2.3b - Does your ENVIRONMENTAL policy express a commitment to continuous improvement?

Yes

CH2.4b - Do all your facilities communicate the ENVIRONMENTAL policy to their workers in the local or appropriate language(s)?

Yes

CH2.17 - Please indicate if each of the following are included in the scope of your ENVIRONMENTAL policy or supporting rules and procedures: Environmental Permits

Yes

CH2.18 - Pollution Prevention

Yes

CH2.19 - Hazardous Substances

Yes

CH2.20 - Wastewater and Solid Waste

Yes

CH2.21 - Airborne Emissions

Yes

CH2.22 - Product Content

Yes

CH2.6b - Does your company place a contractual requirement on its suppliers to be in compliance with ENVIRONMENTAL regulations?

Yes

CH2.7b - Does your company place a contractual requirement on its suppliers (including labor agencies) to adopt an ENVIRONMENTAL standards or codes of conduct?

Yes

CH2.7b.1 - Please state these standard(s) or code(s):

EICC Code of Conduct and Standards

CH2.8 - Notes and Comments: (If none enter N/A)

N/A

CH3 - HS and E Management System Status

CH3.1a - Does your company utilize or is it developing a management systems approach (including policies, programs, procedures, and review processes) to assure proper management of HEALTH and SAFETY practices?

Yes

CH3.2 - Do you have a company-wide management system registered to ISO 14001, OHSAS 18001, or other recognized HEALTH and SAFETY or ENVIRONMENTAL management standards?

Yes

CH3.3.1 - Type of certificate: (If none enter N/A)

ISO 14001, OHSAS 18001

CH3.3.2 - Certificate number: (If none enter N/A)

1000889

CH3.3.3 - Date of Issue:

6/17/2012 0:0:0

CH3.3.4 - Certifier:

UL DQS Inc.

CH3.3.5 - Attach a copy of the certificate.

 MLI ISO 14001 and OHSAS 18001 Certificates 2012-2015.pdf

CH3.4a.1 - For your HEALTH and SAFETY management systems, please indicate the level of implementation of the programs and procedures for the following items: Occupational Safety

In Place

CH3.4a.2 - Emergency Preparedness

In Place

CH3.4a.3 - Occupational Injury / Illness

In Place

CH3.4a.4 - Industrial Hygiene

In Place

CH3.4a.5 - Physically Demanding Work

In Place

CH3.4a.6 - Machine Safeguarding

In Place

CH3.4a.7 - Living Conditions

In Place

CH3.1b - Does your company utilize or is it developing a management systems approach (including policies, programs, procedures, and review processes) to assure proper management of ENVIRONMENTAL practices?

Yes

CH3.4b.1 - For your ENVIRONMENTAL management systems, please indicate the level of implementation of the programs and procedures for the following items: Environmental Permits

In Place

CH3.4b.2 - Pollution Prevention

In Place

CH3.4b.3 - Hazardous Substances

In Place

CH3.4b.4 - Wastewater and Solid Waste

In Place

CH3.4b.5 - Airborne Emissions

In Place

CH3.4b.6 - Product Content

In Place

CH3.5 - To what extent are the above Health and Safety, and Environmental management system(s) documented?

Completely and Updated Regularly

CH3.6 - How often does the management of your company periodically review the status of the above HS and E Health and Safety, and Environmental management system(s) and identify improvement opportunities?

At Least Every Year

CH3.7 - What was the date of the last management review?

2/29/2012 0:0:0

CH3.8 - Notes and Comments: (If none enter N/A)

N/A

CH4 - HS and E Management System Elements

CH4.1a - Has your company established a tracking system to identify and monitor HEALTH and SAFETY laws and regulations that apply to your company?

Yes

CH4.2a - Please indicate the methods and sources used to track these HEALTH and SAFETY laws and regulations. (To select multiple rows hold down the Ctrl key and click additional choices):

Information Subscription, Consulting Services, Industry Associations, Regulatory Agencies, In-House Specialists

CH4.3a - Does your company have written performance objectives for HEALTH and SAFETY practices and results, including metrics and targets with implementation plans for achieving them?

Yes

CH4.3a.1 - If yes, please attach objectives for the current year.



MLI EHSS 2012 Goals.pdf

CH4.4a - How often does your company review performance against these objectives and targets?

At Least Every Year

CH4.5a - Please indicate the date of the last review?

2/29/2012 0:0:0

CH4.8a - Does your company conduct periodic audits of HEALTH and SAFETY practices at its operating facilities in order to assess conformance with regulatory and other requirements?

Yes

CH4.9a - Please indicate what types of requirements are covered in your audit process for HEALTH and SAFETY. (To select multiple rows hold down the Ctrl key and click additional choices):

Regulations, Internal Requirements, External Codes of Conduct

CH4.2b - Please indicate the methods and sources used to track these ENVIRONMENTAL laws and regulations. (To select multiple rows hold down the Ctrl key and click additional choices):

Information Subscription, Consulting Services, Industry Associations, Regulatory Agencies, In-House Specialists

CH4.1b - Has your company established a tracking system to identify and monitor ENVIRONMENTAL laws and regulations that apply to your company?

Yes

CH4.3b - Does your company have written performance objectives for ENVIRONMENTAL practices and results, including metrics and targets with implementation plans for achieving them?

Yes

CH4.3b.1 - If yes, please attach the objectives for the current year.

 MLI EHSS 2012 Goals.pdf

CH4.4b - How often does your company review performance against these objectives and targets?

At Least Every Year

CH4.5b - Please indicate the date of the last review?

2/29/2012 0:0:0

CH4.6 - Does your company have a risk assessment process to identify, prioritize, and mitigate the potential HEALTH and SAFETY and ENVIRONMENTAL risks associated with your operations and activities?

In Place

CH4.7 - Does your company have a program to assess risks and manage the identified risks relating to the HEALTH and SAFETY, and ENVIRONMENTAL practices of your suppliers?

In Place

CH4.14 - Does your company have a sourcing policy and procedures to address conflict minerals in your supply chain?

Yes

CH4.8b - Does your company conduct periodic audits of ENVIRONMENTAL practices at its operating facilities in order to assess conformance with regulatory and other requirements?

Yes

CH4.9b - Please indicate what types of requirements are covered in your audit process for ENVIRONMENTAL practices. (To select multiple rows hold down the Ctrl key and click additional choices):

Regulations, Internal Requirements, External Codes of Conduct

CH4.10 - Does your company have a process to implement timely corrective actions for HEALTH and SAFETY and ENVIRONMENTAL deficiencies identified by internal or external assessments, audits and reviews?

In Place

CH4.11 - Does the corrective action process include root cause analysis and prevention?

Yes

CH4.12 - To which of the following external groups does your company communicate information about its H/S and ENVIRONMENTAL performance, practices and expectations? (To select multiple rows hold down the Ctrl key and click additional choices):

Suppliers, Customers, Communities, Government, General Public

Information is available to all on our website.

CH4.13 - Notes and Comments: (If none enter N/A)

N/A