

**Company Name** : Moses Lake Industries, Inc.  
**Facility English Name** : Moses Lake Industries, Inc.  
**Facility Local Name** : Moses Lake Industries, Inc.  
**Reporting Period** : 2015

100%

Corporate-Level Social and Environmental Responsibility

CI -- Company Information

CI1.1 - Company Name:

Moses Lake Industries, Inc.

CI1.2 - Company Headquarters Mailing Address:

8248 Randolph Road NE Moses Lake, WA 98837

CI1.3 - Street Address:

8248 Randolph Road NE Moses Lake, WA 98837

CI1.4 - City and State/Province (Please enter N/A if not applicable):

Moses Lake, WA

CI1.5 - Country:

United States

CI1.6 - Primary Contact Name:

Nicole McEachran

CI1.7 - Contact Position or Title:

Quality Specialist

CI1.8 - Contact Telephone Number:

509-762-5336

CI1.9 - Contact Email:

qualityassurance@mlindustries.com

CI1.10 - Please choose your PRIMARY Deliverables:

Products

CI1.11 - What are the principal Product based activities of your company? (To select multiple rows hold down the Ctrl key and click additional choices):

Chemical/Gas Production

CI1.15 - Which of the following best describes your company?

Privately owned

CI1.18 - Please list the countries where your company has business operations and/or manufacturing facilities. (Note that each manufacturing facility must complete a Facility Questionnaire).

United States and China

CI1.19 - What is your total directly employed workforce worldwide.

266

CI1.20 - What percentage of your total workforce is typically indirect, contract or dispatch?

Less than 25%

CI1.21 - As a result of any company misconduct, is your company subject to any trade sanctions imposed by any government?

No

CI1.22 - Within the last 7 years, has your Company or any of the key personnel been suspended from doing business, charged with or convicted of fraud, bribery, corruption, or business related criminal acts?

No

CI1.23 - Notes and Comments on any question in this section: (answer required, If none enter N/A)

n/a

#### CLETMA -- Labor and Ethics Management Accountability

CLETMA1.1 - Are one or more company management representatives responsible for assuring compliance with LABOR laws, regulations, and codes across all of your facilities?

Yes

CLETMA1.2 - Are one or more company representatives or compliance officers responsible for assuring compliance with business ETHICS laws, regulations and codes (e.g. bribery, corruption, etc.) across all of your facilities?

Yes

CLETMA1.3 - During the last 12 months, has your company received any awards or recognition for your LABOR or ETHICS achievements from internationally recognized organizations?

No

CLETMA1.5 - Notes and Comments on any question in this section: (answer required, If none enter N/A)

n/a

#### CLETPP -- Labor and Ethics Policies and Procedures

CLETPP1.1 - Does your company have a written LABOR policy (or statement of commitment)? (May be part of a combined labor and ethics policy)

In Place

CLETPP1.2 - Please provide a website address, URL or contact email to obtain a copy of the policy or statement of commitment.

www.mlindustries.com

CLETPP1.3 - Do all of your facilities communicate the LABOR policies in the language(s) of the workers?

Yes

CLETPP1.4 - Does your company have a written ETHICS policy (or statement of commitment)? (May be part of a combined LABOR and ETHICS policy)

In Place

CLETPP1.5 - Please provide a website address URL or contact email to obtain a copy of the policy or statement of commitment.

www.mlindustries.com

CLETPP1.6 - Do all of your facilities communicate the ETHICS policies in the language(s) of the workers?

Yes

CLETPP1.7 - Does your company place a contractual requirement on its SUPPLIERS (including labor agencies) to be in compliance with current LABOR laws, regulations and industry standards?

Yes

CLETPP1.8 - Does your company place a contractual requirement on its SUPPLIERS (including labor agencies) to be in compliance with current ETHICS laws, regulations and industry standards?

Yes

CLETPP1.9 - Notes and Comments on any question in this section: (answer required, If none enter N/A)

n/a

#### ☐ CLETMS -- Labor and Ethics Management System Status

CLETMS1.1 - Does your company utilize a management systems approach (including policies, goals, procedures, and review processes) to assure proper management of LABOR?

Management System in place but not 3rd party certified

CLETMS1.4 - On what system or standard is it modeled?

It is modeled after the EICC guidelines.

CLETMS1.5 - When did management review the status of the above LABOR management system(s) and identify any improvement opportunities?

Within the past 12 months

CLETMS1.6 - To what extent are your LABOR management system(s) documented?

Most elements documented

CLETMS1.7 - Does your company utilize a management systems approach (including policies, goals, procedures and review processes) to assure proper management of ETHICS?

Management System in place but not 3rd party certified

CLETMS1.10 - On what system or standard is it modeled?

It is modeled after the EICC guidelines.

CLETMS1.11 - When did management review the status of the above ETHICS management system(s) and identify any improvement opportunities?

Within the past 12 months

CLETMS1.12 - To what extent are your ETHICS management system(s) documented?

All elements documented

CLETMS1.13 - Has your company established a tracking system to identify and monitor LABOR and ETHICS laws and regulations that apply to your company?

In Place

CLETMS1.14 - Does your company track and review performance metrics and data on Working Hours?

Yes

CLETMS1.15 - Does your company PUBLICLY report these Working Hours metrics?

No

CLETMS1.16 - Does your company track and review performance metrics/data for Other LABOR Topics?

Yes

CLETMS1.17 - Does your company PUBLICLY report these objectives and metrics on Other LABOR Topics?

No

CLETMS1.18 - Does your company track and review performance metrics/data for ETHICS and Governance issues?

Tracked at Facility level

CLETMS1.20 - Does your company have a risk assessment process to identify, prioritize, and mitigate the potential LABOR and ETHICS risks associated with your operations and activities?

In Place

CLETMS1.21 - Does your company have a program to assess risks and manage the identified risks relating to the LABOR and ETHICS practices of your SUPPLIERS?

None

CLETMS1.22 - Does your company conduct periodic audits of LABOR and ETHICS practices at any of its facilities in order to assess conformance with regulatory and other requirements?

Yes, some facilities or less than annually or either LABOR or ETHICS but not both

This is done through internal audits and periodic employee surveys.

CLETMS1.23 - During the last 12 months, has your company received a LABOR or ETHICS practices audit or assessment by a customer or other external organization at any of your facilities?

Yes

CLETMS1.24 - Does your company have a process to immediately implement corrective actions for LABOR or ETHICS deficiencies identified by internal or external assessments, audits and reviews?

In Place

CLETMS1.25 - Does the corrective action process include root cause analysis and prevention?

Yes

CLETMS1.26 - Does your company provide formal training to employees on Business ETHICS which includes guidance on how to prevent and detect corruption?

Yes

CLETMS1.27 - Does your company agree to NOT pay anything of value to any government official for the purpose of

improperly influencing any official decision directly or through any intermediary?

Yes

CLETMS1.28 - Notes and Comments on any question in this section: (answer required, If none enter N/A)

n/a

#### CHSEMA -- Health, Safety and Environmental Management Accountability and History

CHSEMA1.1 - Are one or more company management representatives responsible for assuring compliance with occupational HEALTH & SAFETY laws, regulations and codes across all of your facilities?

Yes

CHSEMA1.2 - Are one or more company management representatives responsible for assuring compliance with ENVIRONMENTAL laws, regulations and codes across all of your facilities?

Yes

CHSEMA1.3 - During the last 12 months, has your company received any company-wide awards or recognition for your HEALTH & SAFETY or ENVIRONMENTAL achievements from internationally recognized organizations?

No

CHSEMA1.5 - During the last 12 months, were there fires, explosions, industrial accidents, or hazardous releases outside of secondary containment at any of your facilities?

No

CHSEMA1.7 - Notes and Comments on any question in this section: (answer required, If none enter N/A)

n/a

#### CHSEPP -- Health, Safety and Environmental Policy and Procedures

CHSEPP1.1 - Does your company have a written HEALTH & SAFETY Policy (or statement of commitment)? (May be part of a combined health, safety and environmental policy).

In Place

CHSEPP1.2 - Please provide a website address, URL or contact email to obtain a copy of the policy or statement of commitment.

www.mlindustries.com

CHSEPP1.3 - Do all of your facilities communicate the HEALTH & SAFETY policies in the language(s) of the workers?

Yes

CHSEPP1.4 - Does your company place a contractual requirement on its SUPPLIERS (including labor agencies) to be in compliance with occupational HEALTH & SAFETY laws and regulations, and adopt a HEALTH & SAFETY standard or code of conduct?

No

CHSEPP1.5 - Does your company have a written ENVIRONMENTAL Policy (or statement of commitment)? (May be part of a combined health, safety and environmental policy)

In Place

CHSEPP1.6 - Please provide a website address, URL, or contact email to obtain a copy of the policy or statement of commitment.

CHSEPP1.7 - Do all of your facilities communicate the ENVIRONMENTAL policies in the language(s) of the workers?

Yes

CHSEPP1.8 - Does your company place a contractual requirement on its SUPPLIERS (including labor agencies) to be in compliance with ENVIRONMENTAL regulations and adopt an ENVIRONMENTAL standard or code of conduct?

No

CHSEPP1.9 - Notes and Comments on any question in this section: (answer required, If none enter N/A)

n/a

## CHSEMS -- Health, Safety and Environmental Management System Status

CHEMS1.1 - Does your company utilize a management systems approach (including policies, programs, procedures, and review processes) to assure proper management of HEALTH & SAFETY practices?

Management System is 3rd party certified

CHEMS1.2 - Please name, explain, or describe the type of certificate and certifying body:

ISO 14001 and government and regulatory requirements.

CHEMS1.3 - When does it expire?

6/16/2018 0:0:0

CHEMS1.7 - Does your company utilize a management systems approach (including policies, programs, procedures, and review processes) to assure proper management of ENVIRONMENTAL practices?

Management System is 3rd party certified

CHEMS1.8 - Please name, explain, or describe the type of certificate and certifying body:

ISO 14001 and government and regulatory requirements.

CHEMS1.9 - When does it expire?

6/16/2018 0:0:0

CHEMS1.13 - Has your company established a tracking system to identify and monitor HEALTH & SAFETY and ENVIRONMENTAL laws and regulations that apply to your company?

In Place

CHEMS1.14 - Does your company Track and review performance metrics and data for employee HEALTH & SAFETY?

Yes

CHEMS1.15 - Does your company PUBLICALLY report these HEALTH & SAFETY objectives and metrics?

Yes

CHEMS1.16 - Does your company track and review performance metrics/data for ENVIRONMENTAL Issues?

Yes

CHEMS1.17 - Does your company PUBLICALLY report these ENVIRONMENTAL metrics?

Yes

CHEMS1.18 - Does your company have a risk assessment process to identify, prioritize, and mitigate the potential HEALTH & SAFETY and ENVIRONMENTAL risks associated with your operations and activities?

In Place

CHEMS1.19 - Does your company have a program to assess risks and manage the identified risks relating to the HEALTH & SAFETY and ENVIRONMENTAL practices of your SUPPLIERS?

Partial

CHEMS1.20 - Does your company conduct periodic audits of HEALTH & SAFETY and ENVIRONMENTAL practices at its operating facilities in order to assess conformance with regulatory and other requirements?

Yes, all facilities annually

CHEMS1.21 - During the last 12 months, has your company received a HEALTH & SAFETY or ENVIRONMENTAL practices audit or assessment by a customer or other external organization at any of your facilities?

Yes

CHEMS1.22 - Does your company have a process to immediately implement corrective actions for HEALTH & SAFETY and ENVIRONMENTAL deficiencies identified by internal or external assessments, audits and reviews?

In Place

CHEMS1.23 - Does the corrective action process include root cause analysis and prevention?

Yes

CHEMS1.24 - Does your company have a sourcing policy and procedures to address conflict minerals in your supply chain?

Yes

CHEMS1.26 - Notes and Comments on any question in this section: (answer required, If none enter N/A)

n/a